



# Brian W. Fischer

## Partner

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## Overview

Brian Fischer represents private equity and venture capital investors, public companies, closely held companies and emerging growth companies in formation, debt and equity financings, mergers and acquisitions, strategic joint ventures, technology arrangements, and general corporate matters. He advises clients in structuring, forming and operating private investment funds, partnerships and joint ventures. Brian also has significant experience in transition planning for family-owned and closely held businesses and assisting owners and management in preparing for and executing sale transactions and other transitions to the next generation of owners and management.

## Experience

*No aspect of this advertisement has been approved by the highest court of any state. Prior results do not guarantee a similar outcome.*

Represented an international engineering company its stock acquisition of a tool design and manufacture company

Advised family office with distressed technology company investment, including bridge loans, loan restructuring and ultimate workout (outside of bankruptcy) to secure equity ownership of target company

Represented an international logistics company in its divestiture of a cross-border business unit

Represented an investor group in connection with a preferred stock investment in a communications company

Represented a private equity fund in connection with a preferred stock investment in a publicly traded manufacturing company

Represented an independent sponsor in connection with its equity offering and minority investment in a manufacturing company

Represented a privately held company engaged in the business of designing and manufacturing custom surface acoustic wave (SAW) components and modules for defense and space industries in its sale to a publicly traded technology company

Represented a manufacturer of 3D printers and 3D production systems in its stock for stock merger with an Israeli company

## Practices & Industries

**Bankruptcy & Restructuring**

**Corporate & Business Law**

**Emerging Companies**

**Family Office**

**Investment Management**

**Mergers & Acquisitions**

**Private Companies**

**Private Equity & Venture Capital**

**Public Companies & Securities**

Represented the audit committee of an oil and gas exploration and production company in connection with a series of related party transactions

Represented a Bermuda based insurance company in connection with its domestication into Delaware

Represented a Spanish waste management and recycling company in a stock acquisition of Wisconsin-based specialty waste management company and in a stock acquisition of an Alabama based specialty waste management company

Represented a Connecticut chartered financial institution in its acquisition by a mutual holding company

## Education and Credentials

### Education

Boston University School of Law, LL.M., *Review of Banking & Financial Law*

University of Miami School of Law, J.D., *magna cum laude*; *University of Miami Law Review*, Associate Editor

University of Miami, B.B.A., *cum laude*

### Admissions

State of Connecticut

State of Delaware

State of New York

### Affiliations

American Bar Association, Business Law Section

Connecticut Bar Association, Business Law Section

Delaware State Bar Association, Corporate Law Section

## Recognition and Community

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### Community Involvement

Legal Food Hub, Volunteer

Project H.E.L.P., Volunteer

## Insights

Corporate Transparency Act to Exclude Most Reporting Companies and Beneficial Owners

Day Pitney Alert, March 27, 2025

Corporate Transparency Act Reinstated (again)

February 20, 2025

The Time to Make Initial CTA Filings Is Now

October 23, 2024

Understanding the Corporate Transparency Act (CTA): What Your Company Needs to Know

September 12, 2024

FinCEN Imposes CTA Reporting on Certain Dissolved Entities

July 12, 2024

Corporate Transparency – Where Are We Now?

April 23, 2024

Generations Fall 2023 - Corporate Transparency Act Becomes Effective on January 1

November 30, 2023

Generations Spring/Summer 2023 - The Corporate Transparency Act: FinCEN Provides Further Guidance

June 29, 2023

Generations Fall 2022 - Federally Required Disclosure of Beneficial Owners: FinCEN Issues Final Regulations

November 18, 2022

"Business Owner Summit," Day Pitney and Weingard Wealth Management of Raymond James

June 8, 2022

New Beneficial Ownership Reporting Obligation Approved

January 13, 2021

Key Corporate Governance Points for the Board of a Privately Held Company Relating to the COVID-19 Pandemic

April 6, 2020

COVID-19 Emergency Order Allows Remote-Only Shareholder Meetings for Connecticut Corporations

March 22, 2020

## News

Day Pitney Receives Corporate Award at the Connecticut Hispanic Bar Association's Annual Dinner

November 8, 2023

Veson Nautical Acquires Q88

May 4, 2022

Day Pitney Represents TriStruX LLC in Sale to Huron Capital

December 23, 2021

2021 Promoted Partners

January 6, 2021

Brian W. Fischer

January 4, 2021

Day Pitney Elects Four New Partners

January 4, 2021

# In The Media

Day Pitney Corporate Partner Brian Fischer to speak at the Connecticut Entrepreneurs Forum  
March 25, 2025

"Corporate Transparency Act," The Estate and Business Planning Council of Hartford  
January 23, 2024

"What To Expect During Due Diligence: Legal, Tax and Other Consideration," XPX Hartford CT  
February 22, 2023

"Fine Print – The Deal's Not Done Until the Paperwork is Finished," Exit Planning Exchange Connecticut (XPX CT): Fairfield and Hartford Chapter  
March 22, 2021

When Good Deals Go Bad – Pitfalls to Avoid During the Sale Process  
January 15, 2020

Defining Success from a Legal Perspective – How to Best Structure the Transaction to Meet the Owner's Objectives while Providing Significant Legal Protection  
January 16, 2019

Welcome to the World of Contracts  
March 14, 2018

Connecticut Business Law  
June 12, 2017

Craft Brew: What's in a Name?,"  
The Beverage Journal, June 1, 2018