



# Helen Harris

## Partner

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## Overview

Helen Harris represents companies and individuals both in complex commercial litigation and in internal and government investigations. She vigorously defends clients in numerous state and federal business and commercial litigations in the Connecticut and New York courts. She also conducts timely internal investigations, and guides clients through regulatory inquiries. Helen is a practice group leader in the firm's Litigation department.

## Experience

*No aspect of this advertisement has been approved by the highest court of any state. Prior results do not guarantee a similar outcome.*

Effectively defended companies and individuals in federal and state litigations regarding, among others, professional negligence claims, accounting and auditing practices, dissolution of partnerships and partnership disputes, breach of contract claims, challenges to proposed mergers, complex fiduciary and probate litigation matters, disputes involving trusts and closely-held businesses, and many other complex commercial matters

Guided corporate clients in securities class actions and other litigations alleging breaches of fiduciary duty and misleading or ommissive public filings

Conducted internal investigations on behalf of board committees and companies concerning, among others, alleged misstatements in financial reporting, alleged breach of fiduciary duties, the Foreign Corrupt Practices Act and corruption concerns, bidding practices, environmental claims, accounting practices, and employee misconduct

Defended against and counseled clients in connection with investigations by federal and state government regulators into, among others, alleged misstatements in public filings, restatement of financial reports, false claims issues, sales of securities, registration under the securities laws and deficiencies in trading and supervision

Advised distribution agent in SEC Fair Fund case

Previously, served as a law clerk to The Honorable Reena Raggi, then in the U.S. District Court for the Eastern District of New York

## Practices & Industries

[Class Action Litigation](#)

[Complex Commercial Litigation](#)

[Family Office](#)

[Fiduciary and Probate Litigation](#)

[Government Enforcement & White Collar Defense](#)

[Internal Investigations](#)

[Litigation](#)

[Shareholder and Securities Litigation](#)

# Education and Credentials

## Education

Columbia Law School, J.D., Harlan Fiske Stone Scholar

Yale University, B.A.

## Admissions

State of Connecticut

State of New York

U.S. Court of Appeals for the Second Circuit

U.S. District Court, District of Connecticut

U.S. District Court, Eastern District of New York

U.S. District Court, Southern District of New York

## Affiliations

Federal Bar Council

Connecticut Bar Association

# Recognition and Community

*No aspect of this advertisement has been approved by the highest court of any state. Prior results do not guarantee a similar outcome. [See Awards Methodology.](#)*

## Recognitions

Chosen for inclusion in [The Best Lawyers in America](#) (Woodward/White, Inc.), Commercial Litigation, 2023-2025

## Community Involvement

Federal Grievance Committee, District of Connecticut, 2017-2023

Federal Selection Panel, to consider reappointment of Magistrate Judge, District of Connecticut, 2023

Connecticut Legal Services, Board of Directors, 2014-Present; Chair of the Board, 2024-Present; and Officer, 2018-Present

Day Pitney Women Working Together, Leadership Committee, 2013-Present

Day Pitney Alliance, Leadership Committee, 2020-Present

Yale Alumni Schools Committee, 2010-Present, and ASC Regional Director, 2023-Present

Day Pitney Pro Bono Committee, Chair, 2010-2013

# Insights

Federal Contractors and Other Recipients of Federal Government Funds, Be Wary: DEI Initiatives May Lead to Liability Under the False Claims Act

Day Pitney Alert, May 30, 2025

Women Working Together Hosts Holiday Dinner for Stamford Women's Shelter

December 9, 2024

Day Pitney White Collar Roundup – July 2023 Edition

July 2023

Day Pitney White Collar Roundup – April 2023 Edition

April 2023

Day Pitney White Collar Roundup – February 2023 Edition

February 2023

Preview of Coming Attractions on Corporate Criminal Enforcement

December 5, 2022

Day Pitney White Collar Roundup – October 2022 Edition

October 2022

Day Pitney White Collar Roundup – August 2022 Edition

August 2022

Day Pitney White Collar Roundup - June 2022 Edition

June 2022

Day Pitney White Collar Roundup - April 2022 Edition

April 1, 2022

White Collar Roundup - February 2022 Edition

February 2022

New Russia Sanctions Require Immediate Compliance Review

February 25, 2022

Day Pitney White Collar Roundup - December 2021 Edition

December 30, 2021

Corporate Crime? DOJ Says Not on My Dime.

December 15, 2021

Day Pitney White Collar Roundup - October 2021 Edition

October 1, 2021

Day Pitney White Collar Roundup - August 2021 Edition

August 1, 2021

Day Pitney White Collar Roundup - June 2021 Edition

June 1, 2021

Supreme Court Resolves Circuit Split and Narrows the Scope of the TCPA

June 1, 2021

More Regulation for Urgent Care and Retail Clinics?

June 1, 2021

OIG Increases Oversight of Telehealth Services

June 1, 2021

HHS Eases Prescribing Requirements for Opioid Addiction Medication

June 1, 2021

Updated Resources for Healthcare Providers

June 1, 2021

Potential Federal Privacy Bill

June 1, 2021

White Collar Roundup - March/April Edition

march/april 2021

The Long-Awaited HIPAA Proposed Rule Promises Enhanced Access Right

March 25, 2021

In Response to Extensive Lobbying, Congress Recalibrates Medicare Physician Fee Schedule for 2021

March 25, 2021

Fifth Circuit Weighs In on HIPAA Penalties Due to Data Breaches

March 25, 2021

White Collar Roundup - January/February Edition

january/february 2021

White Collar Roundup - November/December Edition

november/december 2020

White Collar Roundup - September/October Edition

september/october 2020

Conn. Healthcare Providers May Be Violating the Conn. Unfair Trade Practices Act With Coronavirus Surcharge

October 1, 2020

White Collar Roundup - July/August 2020

july/august 2020

White Collar Roundup - May/June Edition

may/june 2020

SBA Safe Harbor Does Not Guarantee Free Pass for COVID-19 PPP Borrowers

May 13, 2020

White Collar Roundup - March/April Edition

march/april 2020

Antitrust Regulators Address Business Collaboration Designed to Combat COVID-19

March 25, 2020

White Collar Roundup - January/February 2020

March 2, 2020

The New Reality of FARA Enforcement: What DOJ's New Priorities Mean for Your Risk Calculus

September 20, 2019

White Collar Roundup - June 2019

June 24, 2019

White Collar Roundup - May 2019

May 6, 2019

White Collar Roundup - April 2019

April 1, 2019

White Collar Roundup - March 2019

March 12, 2019

White Collar Roundup - February 2019

February 4, 2019

White Collar Roundup - January 2019

January 4, 2019

White Collar Roundup - December 2018

December 3, 2018

Parade of Corporate Horribles: Responding Ethically to a New Problem Around Every Corner

November 27, 2018

White Collar Roundup - November 2018

November 6, 2018

White Collar Roundup - October 2018

October 9, 2018

White Collar Roundup - September 2018

September 10, 2018

White Collar Roundup - August 2018

August 13, 2018

White Collar Roundup - July 2018

July 17, 2018

White Collar Roundup - June 2018

June 7, 2018

White Collar Roundup - May 2018

May 9, 2018

White Collar Roundup - April 2018

April 6, 2018

White Collar Roundup - March 2018

March 2, 2018

White Collar Roundup - February 2018

February 6, 2018

White Collar Roundup - January 2018

January 5, 2018

White Collar Roundup - December 2017

December 13, 2017

White Collar Roundup - November 2017

November 2, 2017

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October 9, 2017

White Collar Roundup - September 2017

September 7, 2017

White Collar Roundup - August 2017

August 2, 2017

White Collar Roundup - July 2017

July 10, 2017

White Collar Roundup - June 2017

June 7, 2017

White Collar Roundup - May 2017

May 4, 2017

White Collar Roundup - April 2017

April 3, 2017

White Collar Roundup - March 2017

March 7, 2017

White Collar Roundup - February 2017

February 3, 2017

White Collar Roundup - January 2017

January 3, 2017

White Collar Roundup - December 2016

December 5, 2016

White Collar Roundup - November 2016

November 1, 2016

White Collar Roundup - October 2016

October 4, 2016

White Collar Roundup - September 2016

September 6, 2016

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August 2, 2016

White Collar Roundup - July, 2016

July 7, 2016

White Collar Roundup - June 2016

June 6, 2016

White Collar Roundup - May 2016

May 6, 2016

White Collar Roundup - April 2016

April 5, 2016

White Collar Roundup - March 2016

March 1, 2016

White Collar Roundup - February 2016

February 4, 2016

White Collar Roundup - January 2016

January 5, 2016

White Collar Roundup - December 2015

December 1, 2015

White Collar Roundup - November 2015

November 4, 2015

Day Pitney and ACC Present the Event-- Ask the Enforcers: The Most Significant Enforcement Trends and Greatest Risks in Global Commerce Today

October 29, 2015

## In The Media

Parade of Corporate Horribles 2022: Ethically Investigating Problems Around Every Corner

Association of Corporate Counsel, September 28, 2022

Editor, "White Collar Roundup," Day Pitney Newsletter

January 11, 2021

Parade of Corporate Horribles 2020: Ethically Investigating Issues from the Pandemic and More

Westchester/Southern Connecticut Chapter of the Association of Corporate Counsel (ACC), September 15, 2020

Is Attorney Client Privilege Dead?

October 30, 2019

FCPA: Essentials and Ethics of Necessary Investigation

PLAC, March 6, 2019

Parade of Corporate Horribles: Responding Ethically to a New Problem Around Every Corner

WESFACCA, November 27, 2018

Law Firms Find New Niche Conducting Sex Assault Investigations for Colleges

The Connecticut Law Tribune, April 22, 2016

Law Firms See Opportunity in Wave of Campus Sex Discrimination Claims

The American Lawyer, April 1, 2016

Ask the Enforcers: The Most Significant Enforcement Trends and Greatest Risks in Global Commerce Today

WESFACCA, October 29, 2015

Updates on the Foreign Corrupt Practices Act

Insurance Compliance Best Practices Meeting, November 19, 2012

The Bribery Act: Dramatic Change in Anti-Corruption Law

Insurance Compliance Best Practices Meeting, March 25, 2011

Judge Shea Joins the District of Connecticut Bench

Federal Bar Council Quarterly, Sept./Oct./Nov. 2013

From Multi-National Corporations to Closely-Held Companies: What Every Business Lawyer Should Know

American Bar Association Business Law Section Meeting, April, 2013

Mitigating Risks: The U.S. Foreign Corrupt Practices Act and the UK Bribery Act

Globalaw Americas Regional Meeting, March 5, 2011