Henry Nelson Massey

Of Counsel

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Overview

Henry "Hank" Massey counsels family-owned and other closely-held businesses on mergers and acquisitions, succession planning and day-to-day legal issues. He also assists investment advisers and broker-dealers, and aids with the formation and operation of investment funds.

In addition, he represents emerging companies with their organization and capital raising. Hank's broad experience with issues faced by business owners allows him to give practical advice and effectively work as a "quarterback," coordinating Day Pitney's legal resources and experience, as needed, to help clients achieve their goals.

Firm Leadership:

- Leader, Investment Management & Private Funds Practice

Experience

No aspect of this advertisement has been approved by the highest court of any state. Prior results do not guarantee a similar outcome.

Representation of a fixed income manager in the sale of a minority interest to a multi-asset class manager

Representation of emerging companies, including those relating to Web 2.0, computer software and high-tech devices

Representation of closely held registered investment advisory firms, including regulatory, corporate, contractual, hedge fund and Investment Adviser Act matters

Representation of "angel" investors in biotech and other early-stage companies

Representation of management in the buyout of subsidiaries

Representation of a major franchisee in the acquisition of a series of independent outlets

Representation of a sponsor of a real estate private equity "fund of funds"

Representation of closely held companies considering or recently consummating sales of their businesses or purchases of other businesses for amounts ranging from $25 million to $250 million

Practices & Industries

Corporate & Business Law

Emerging Companies

Investment Compliance Services

Investment Management

Mergers & Acquisitions

Private Companies

Private Equity & Venture Capital

Public Companies & Securities

Education and Credentials

Education

Columbia Law School, J.D., Harlan Fiske Stone Scholar

Princeton University, A.B., *cum laude*

Admissions

State of New Jersey

State of New York

Recognition and Community

No aspect of this advertisement has been approved by the highest court of any state. Prior results do not guarantee a similar outcome. See Awards Methodology.

Recognitions

Chosen for inclusion in the *Chambers USA Legal Directory* (Chambers & Partners) of recognized practitioners for Corporate/M&A attorney, 2015, 2018-2023

Chosen for inclusion in *The Best Lawyers in America* (Woodward/White, Inc.), Corporate Law, 2006-2027, Mergers & Acquisitions Law, 2006-2027, Securities Regulation, 2011-2027

Insights

Annual and Periodic Reporting and Compliance Requirements Applicable to Investment Managers
Day Pitney Client Alert, 01/10/2025

Navigating the SEC's New Private Fund Rules: Challenges Ahead for Sponsors and Advisers
September 26, 2023

SEC's Amended Advertising Rules for Investment Advisers: Compliance Date Countdown Begins
May 5, 2021

SEC Risk Alert Shines Spotlight on Private Funds
September 25, 2020

Key Corporate Governance Points for the Board of a Privately Held Company Relating to the COVID-19 Pandemic
April 6, 2020

Relief for Businesses in the COVID-19 CARES Act
March 27, 2020

Goodbye, DOL Fiduciary Rule, and Hello, SEC Standards of Conduct?
May 1, 2018

SEC Proposes RIA Succession Requirements
July 6, 2016

SEC's Dating Advice For Internet Platforms And Its Impact
August 19, 2015

SEC Makes Fundamental Changes to Private Capital-Raising Rules
July 15, 2013

SEC Focusing on B-D Registration Issues for Private-Fund Managers
May 12, 2013

Corporate and Business Law Attorneys Publish Article on Broker-Dealer Registration in *Investment News*
May 12, 2013

News

Chambers USA 2023 Ranks 35 Day Pitney Attorneys and 13 Practices
June 1, 2023

Chambers USA 2022 Ranks 34 Day Pitney Attorneys and 13 Practices
June 1, 2022

Chambers USA 2021 Ranks 31 Day Pitney Attorneys and 13 Practices
May 21, 2021

Chambers USA 2020 Ranks 34 Day Pitney Attorneys and 12 Practices
April 30, 2020

Chambers USA 2019 Ranks 38 Day Pitney Attorneys and 12 Practices
May 8, 2019

Chambers USA 2018 Ranks 39 Day Pitney Attorneys and 11 Practices
May 25, 2018

71 Day Pitney Lawyers Named to 2017 Best Lawyers List
August 15, 2016

Chambers USA 2016 Ranks 47 Day Pitney Attorneys
May 27, 2016

68 Day Pitney Lawyers Named to 2016 Best Lawyers List
August 24, 2015

Chambers USA 2015 Ranks 51 Day Pitney Attorneys
May 21, 2015

Seventy Day Pitney Lawyers Named to 2015 Best Lawyers List
August 22, 2014

Seventy Day Pitney Lawyers Named to 2014 Best Lawyers List
August 15, 2013

Day Pitney Team Advises Titanium Industries in its Acquisition of Pierce-Spafford Metals
May 10, 2013

Seventy-Five Day Pitney Lawyers Named to Best Lawyers List for 2013
August 23, 2012

Seventy-two Day Pitney Lawyers Named to Best Lawyers List for 2012
October 4, 2011

Seventy-six Day Pitney Lawyers Named to Best Lawyers for 2011
August 9, 2010

In The Media

Closed For Business: Is New Jersey Pushing out Small- And Medium-Sized Companies?
RegentAtlantic, June 2016

Red Flags 2015: Broker-Dealer and Investment Adviser Hot Topics
November 5, 2015

Hank Massey Presents ICLE at Morris Essex Inn of Transactional Counsel
September 9, 2015

Hank Massey Quoted in Commerce Magazine about Best Practices for Family Businesses
Commerce Magazine, May 1, 2015

Day Pitney Attorneys to Speak at 2013 "Red Flag" Seminar
October 24, 2013

SEC Makes Fundamental Changes to Private Capital-Raising Rules
VC Experts, July 31, 2013

Hank Massey Quoted in eBook on Dodd-Frank
FierceFinance, September 30, 2012

Targeting Adviser Compliance Deficiencies
Law360, December 12, 2011

New Jersey Partners to Speak at ACC Event
September 24, 2010