Joty Mondal

Associate

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Overview

Joty Mondal assists in the counsel of emerging to established investment managers and private investment funds in investment management and corporate and securities matters. She provides ongoing regulatory, securities, commodities and general corporate advice to investment advisers and their affiliates. She works extensively with non-U.S. investment firms located throughout Europe, Asia and Australia on U.S. securities and compliance matters. With respect to investor-side representations, Joty assists institutional investors, including pension plans, with conducting reviews and negotiating the terms of their investments.

In addition to her securities matters, Joty represents clients in corporate matters, including reorganizations; mergers, acquisitions and dispositions; debt and equity financings; venture capital transactions; corporate governance and joint ventures.

Practices & Industries

Corporate & Business Law

Investment Management

Experience

No aspect of this advertisement has been approved by the highest court of any state. Prior results do not guarantee a similar outcome.

Represented a state pension fund in connection with a $500 million investment in a closed-end "fund of one" formed by an asset management company focused on direct private credit opportunities in the form of senior, mezzanine and opportunistic loans to lower middle market companies; negotiated the terms of the limited partnership agreement, securities, tax and enforceability opinions, subscription documents and advised the client on all aspects of the investment, including exculpation/indemnity, cause, general partner commitment, default, credit facility disclosure, confidentiality, conflicts of interest and key person provisions

Represented a major metro pension system in connection with a multimillion dollar investment in a multibillion dollar opportunistic real estate fund focused on acquiring real estate companies, portfolios, distressed loans and standalone assets; negotiated the terms of each system's side letter, legal opinions, subscription agreements, agency cross transaction revocation letters and advised the client on all aspects of the investment, including negotiating fee arrangements, LPAC rights, side letter enforceability opinions, most favored nation, excuse and liability cap provisions

Represented Crescendo Capital SA, a Geneva-based asset manager, and its U.S. affiliate, Crescendo Asset Management, LLC, in connection with the

formation of a master-feeder fund structure consisting of two Cayman Islands domiciled feeder funds investing into a Delaware series limited liability company (the intermediary vehicle), which in each series will invest in individual target properties sourced by a sub-advisor with deep U.S. real estate investment experience through a special purpose investment vehicle formed for that purpose; advised the client on tax structuring under the portfolio interest exception, negotiating the terms of sub-advisor agreements, the preparation of offering documents, including private placement memoranda, a series limited liability company agreement, series designations and series appendices for each series and subscription agreements for each feeder fund

Represented Nickel Digital Asset Management Ltd, a London-based cryptocurrency asset manager, in connection with the formation of a multiseries Delaware limited partnership formed to invest in decentralized digital currency and tokens, where each series of the fund invests substantially all of its assets in a corresponding segregated portfolio of a Cayman Islands segregated portfolio company as part of a master-feeder structure in which the U.S. feeder fund invests in parallel with a separate Cayman Islands feeder fund; advised the client on the preparation of offering documents, including a private placement memorandum, series limited partnership agreement, subscription agreement, series designations and series appendices for each series, as well as advised the investment manager on registration with the CFTC as a commodity pool operator

Advised client GSB Capital, LLC, in its multicountry launch of the GSB Equity Long Short Fund on, among other things, restructuring the fund to accept U.S. investors and U.S. regulatory advice on compliance issues related to CPO registration and exemptive relief; assisted with the preparation of offering documents

Represented a Connecticut-based investment management firm in connection with the formation of a differentiated open-ended, hybrid private investment fund which invests in both private investments and public equities issued as part of capital raising transactions; advised the client on the structuring of a multi-class, commitment-based private fund and the preparation of offering documents, including a private placement memorandum, limited partnership agreement, subscription agreement, and form of capital call notice for each class

Education and Credentials

Education

University of Notre Dame Law School, J.D.

Cornell University, B.S.

Admissions

State of New Jersey

Languages

Bengali

Insights

Annual and Periodic Reporting and Compliance Requirements Applicable to Investment Managers
Day Pitney Client Alert, 01/10/2025

Navigating the SEC’s New Private Fund Rules: Challenges Ahead for Sponsors and Advisers
September 26, 2023

SEC's Amended Advertising Rules for Investment Advisers: Compliance Date Countdown Begins
May 5, 2021

News

Day Pitney Represents State Pension Plan in $150 Million Wind Point Partners Fund Investment
August 19, 2026

Day Pitney Investment Management and Private Funds Team Represents Asset Management Firm in Formation of a Private Credit Fund
December 19, 2023

Day Pitney Institutional Investor Team Represents a State Investment Division in $200 Million Investment in a Private Equity Fund
November 21, 2023

Day Pitney Institutional Investor Team Represents a State Pension Fund in $1 Billion Investment in Fund of One
June 8, 2023

Day Pitney Public Pensions Team Represents a State Pension Fund in $500 million Investment in Fund of One
February 6, 2023

Day Pitney Represents Major Metro Pension System in Industrial Real Estate Fund Investment
April 22, 2022

Day Pitney Represents Major Metro Pension System in its Investment in a Real Estate Fund
March 22, 2022

Day Pitney Represents Major Metro Pension System in Positive Climate Impact Companies
March 16, 2022

Day Pitney Represents Geneva-Based Crescendo Capital in Forming Cayman Islands Real Estate Co-Investment Fund
November 29, 2021

Day Pitney Represents UK-Based Nickel Digital Asset Management Ltd in Forming Nickel Digital Asset Series Fund LP, a Multi-Series Investment Fund to Invest in Digital Assets
November 16, 2021

Day Pitney Represents Investment Management Firm in Forming Private Fund
June 1, 2021

Day Pitney Represents Investment Management Firm in Restructuring of Two Hedge Fund
April 9, 2021

Day Pitney Welcomes Fifteen First-Year Associates
January 25, 2021

In The Media

"NJMLA Ninth Annual Gala," New Jersey Muslim Lawyers Association
September 26, 2024

Major Japanese Pension Fund Seeds Japan Equity Long-Short Fund
Hedgeweek, September 13, 2021

New Hires at Day Pitney's NJ Office On State's Opportunities
Law360 Pulse, January 27, 2021